

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78302

Masayuki SANBAYASHI, et al.

Appln. No.: Based on PCT/JP2004/016481

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: April 28, 2006

Examiner: Unknown

For: TRANSPARENT FILM-FORMING COMPOSITION

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

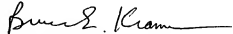
In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: English language abstracts, submitted herewith, constitute concise statements of relevance for JP-A-2-69375, JP-A-62-36045, JP-B2-3027739 (corresponds to Japanese Patent Application Publication No. 10-225640), JP-A-9-40872, JP-A-7-216552, and WO 99/28393. The aforementioned documents are also cited on pages 1 to 3 in the specification of the above-identified application. JP-B2-2756474, which is cited on page 2 in the specification of the present application, corresponds to EP 0816466.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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Date: April 28, 2006

Substitute for Form 1449 A & B/PTO		<b>IAP12 Rec'd</b> <b>APR 28 2006</b> <i>(complete if known)</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		Application Number	Based on PCT/JP2004/016481
		Confirmation Number	Unknown
		Filing Date	April 28, 2006
		First Named Inventor	Masayuki SANBAYASHI
		Art Unit	Unknown
		Examiner Name	Unknown
Sheet	1	of	1
		Attorney Docket Number	Q78302

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
/D.B./		US 2003/181329	A1	09-25-2003	Jun Tanaka, et al.
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
/D.B./		JP	2-69375	A	03-08-1990	Aoki Corp Koransha Co Ltd	Abstract
/D.B./		JP	62-36045	A	02-17-1987	Hoya Corp	Abstract
		JP	2766474	B2	02-12-1998	Toto Ltd	
/D.B./		EP	0816466	A1	01-07-1998	Toto Ltd.	
/D.B./		JP	3027739	B2	01-28-2000	Ishihara Sangyo Kaisha Ltd Fujishima Akira Hashimoto Kazuhito	Abstract
/D.B./		JP	9-40872	A	02-10-1997	Nippon Kayaku Co Ltd	Abstract
/D.B./		JP	7-216552	A	08-15-1995	Chichibu Onoda Cement Corp Ogiwara Takashi	Abstract
/D.B./		WO	99/28393	A1	06-10-1999	Showa Denko kabushiki Kaisha	Abstract
/D.B./		EP	1153999	A1	11-14-2001	Showa Denko kabushiki Kaisha	
/D.B./		WO	2004/014986	A1	02-19-2004	Showa Denko kabushiki Kaisha	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	

Examiner Signature	/Daniel Berns/	Date Considered	07/18/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant to indicate here if English language Translation is attached.